

**REQUEST FOR CONTINUED EXAMINATION (RCE)
TRANSMITTAL****(INCLUDING FILING FEE AND/OR PETITION FOR
EXTENSION OF TIME FEE)**

*Subsection (b) of 35 U.S.C. §132, effective May 29, 2000
provides for continued examination of a utility or plant application
filed on or after June 8, 1995.
See The American Inventors Protection Act of 1999 (AIPA)*

To: **Commissioner for Patents
Box RCE
PO Box 1450
Alexandria, VA 22313-1450**

Attorney Docket No.: 1185.1045

First Named Inventor	Shingo OHKAWA et al.		
Application No.	09/273,449	Group Art Unit	2871
Filing Date	March 22, 1999	Examiner	D. Chung
CPA Filing Date		Confirmation No	7147
Title of Invention	SURFACE LIGHT SOURCE DEVICE OF SIDE LIGHT TYPE AND LIQUID CRYSTAL DISPLAY		

This is a Request for Continued Examination (RCE) under 37 C.F.R. §1.114 of the above-identified application.

NOTE: 37 C.F.R. §1.114 is effective on May 29, 2000. If the above-identified application was filed prior to May 29, 2000, applicant may wish to consider filing a continued prosecution application (CPA) under 37 C.F.R. §1.53(d) (PTO/SB/29) instead of a RCE to be eligible for the patent term adjustment provisions of the AIPA. See Changes to Application Examination and Provisional Application Practice, Interim Rule, 65 Fed. Reg. 14865 (March 20, 2000), 1233 Off. Gaz. Pat. Office 47 (Apr. 11, 2000), which established RCE practice.

1.


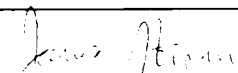
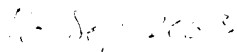
Submission required under 37 C.F.R. §1.114 (Box a or b must be completed)

- a. ☐ Previously submitted
- i. ☐ Consider the amendment(s)/reply under 37 C.F.R. § 1.116 previously filed on ____
(Any unentered amendment(s) referred to above will be entered).
- ii. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on ____
- iii. ☐ Other
- b. ☒ Enclosed
- i. ☒ Amendment/Reply
- ii. ☐ Affidavit(s)/Declaration(s)

2.

Miscellaneous

- a. ☐ Suspension of action on the above-identified application is requested under 37 C.F.R. §103(c) for a period of ____ months. (Period of suspension shall not exceed 3 months; Fee under 37 C.F.R. §1.17(i) required).
- b. ☐ Other

	BASIC FEE	\$	750.00
Since an Official Action set an <u>original</u> due date of <u>June 17, 2003</u> , petition is hereby made for an extension of time to cover the date this RCE is filed, for which the requisite fee is enclosed (1 month (\$110); 2 months (\$410); 3 months (\$930); 4 months (\$1,450); 5 months (\$1,970)):			930.00
Suspension Fee (\$130.00)			
Total of above Calculations =		\$	1680.00
Reduction by 50% for filing by small entity (Note 37 C.F.R. 1.9, 1.27, 1.28).			
TOTAL FEES DUE =		\$	1680.00
4. <input type="checkbox"/> Small entity status: a. <input type="checkbox"/> Verified Statement Claiming Small Entity Status. b. <input type="checkbox"/> A Verified Statement Claiming Small Entity Status was previously filed and such status is still proper and desired. c. <input type="checkbox"/> is no longer claimed. 5. <input type="checkbox"/> Other:			
6. METHOD OF PAYMENT			
<input checked="" type="checkbox"/> A check in the amount of \$ <u>\$1680.00</u> is enclosed. <input type="checkbox"/> Charge "TOTAL FEES DUE" to Deposit Account No. 19-3935. (A duplicate copy of this form is enclosed.)			
7. GENERAL AUTHORIZATION			
<input checked="" type="checkbox"/> The Commissioner is hereby authorized to credit any overpayment or charge any additional fees under 37 C.F.R. 1.16 (filing fees) or 37 C.F.R. 1.17 (processing fees) during the prosecution of this application and of any related application(s) claiming benefit hereof pursuant to 35 U.S.C. §120 to maintain pendency hereof and of any such related application to: Deposit Account No. 19-3935.			
8. CORRESPONDENCE ADDRESS			
STAAS & HALSEY LLP  21171 <small>PATENT TRADEMARK OFFICE</small>			
9. SIGNATURE OF ATTORNEY OR AGENT REQUIRED			
NAME	James T. Strom	REGISTRATION NO.	48,702
SIGNATURE		DATE	



S&H Form: (01/03)-0

**REPLY/AMENDMENT
FEE TRANSMITTAL**

Attorney Docket No.	1185.1045
Application Number	09/273,449
Filing Date	March 22, 1999
First Named Inventor	Shingo OHKAWA et al.
Group Art Unit	2871

AMOUNT ENCLOSED

\$930.00

Examiner Name

D. Chung

FEE CALCULATION (fees effective 01/01/03)

CLAIMS AS AMENDED	Claims Remaining After Amendment	Highest Number Previously Paid For	Number Extra	Rate	Calculations
TOTAL CLAIMS	54	- 57 =	0	X \$ 18.00 =	\$ 0.00
INDEPENDENT CLAIMS	3	- 3 =	0	X \$ 84.00 =	0.00
Since an Official Action set an <u>original</u> due date of <u>June 17, 2003</u> , petition is hereby made for an extension to cover the date this reply is filed for which the requisite fee is enclosed (1 month (\$110); 2 months (\$410); 3 months (\$930); 4 months (\$1,450); 5 months (\$1,970)):					930.00
If Notice of Appeal is enclosed, add (\$320.00)					
If Statutory Disclaimer under Rule 20(d) is enclosed, add fee (\$110.00)					
Information Disclosure Statement (Rule 1.17(p)) (\$180.00)					
Total of above Calculations =					\$ 930.00
Reduction by 50% for filing by small entity (37 CFR 1.9, 1.27 & 1.28)					
TOTAL FEES DUE =					\$ 930.00

(1: If entry (1) is less than entry (2), entry (3) is "0".

(2: If entry (2) is less than 20, change entry (2) to "20".

(4: If entry (4) is less than entry (5), entry (6) is "0".

(5: If entry (5) is less than 3, change entry (5) to "3".

METHOD OF PAYMENT

- ☒ Check enclosed as payment.
- ☐ Charge "TOTAL FEES DUE" to the Deposit Account No. below.
- ☐ No payment is enclosed and no charges to the Deposit Account are authorized at this time (unless specifically required to obtain a filing date).

GENERAL AUTHORIZATION

- ☐ If the above-noted "AMOUNT ENCLOSED" is not correct, the Commissioner is hereby authorized to credit any overpayment or charge any additional fees necessary to:
- | | |
|----------------------|--------------------|
| Deposit Account No. | 19-3935 |
| Deposit Account Name | STAAS & HALSEY LLP |
- ☒ The Commissioner is also authorized to credit any overpayments or charge any additional fees required under 37 CFR 1.16 (filing fees) or 37 CFR 1.17 (processing fees) during the prosecution of this application, including any related application(s) claiming benefit hereof pursuant to 35 USC § 120 (e.g., continuations/divisionals/CIPs under 37 CFR 1.53(b) and/or continuations/divisionals/CPAs under 37 CFR 1.53(d)) to maintain pendency hereof or of any such related application.

SUBMITTED BY: STAAS & HALSEY LLP

Typed Name	James T. Strom	Reg. No.	48,702
Signature		Date	

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RESPONSE UNDER 37 CFR 1.116
EXPEDITED PROCEDURE
EXAMINING GROUP 2871
Docket No.: 1185.1045

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Shingo OHKAWA et al.

Serial No. 09/273,449

Group Art Unit: 2871

Confirmation No. 7147

Filed: March 22, 1999

Examiner: D. Chung

For: SURFACE LIGHT SOURCE DEVICE OF SIDE LIGHT TYPE AND LIQUID CRYSTAL
DISPLAY

AMENDMENT AFTER FINAL REJECTION

Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

Attention: **BOX AF**

Sir:

This is in response to the Office Action mailed March 17, 2003, and having a period for response set to expire on June 17, 2003. A Petition for a three-month extension of time, together with the requisite fee for same, is submitted herewith, thereby extending the period for response to September 17, 2003.

Reconsideration of the claims is respectfully requested. The following remarks are respectfully submitted.